



## **CABINET OF MINISTERS OF UKRAINE**

### **RESOLUTION**

**of June 17, 2015 No. 411**

**Kyiv**

**On approval of the Procedure for submission of information by entities, enterprises, institutions and organizations that are not reporting entities at the request of the State Financial Monitoring Service of Ukraine**

{With amendments introduced in accordance with the Cabinet of Ministers of Ukraine Resolution No. 654 of 29.07.2020}

According to Article 16 (7) of the Law of Ukraine «On Preventing and Counteracting to the Legalization (Laundering) of the Proceeds from Crime, Financing of Terrorism and Financing of Proliferation of Weapons of Mass Destruction», the Cabinet of Ministers of Ukraine **resolves**:

1. To approve the attached Procedure for submission of information by entities, enterprises, institutions and organizations that are not reporting entities at the request of the State Financial Monitoring Service of Ukraine.
2. To declare null and void the Resolutions of the Cabinet of Ministers of Ukraine according to the attached list.

**Prime Minister of Ukraine**

**A. YATSENIUK**

**Ind. 72**

**APPROVED**  
**by the Cabinet of Ministers of Ukraine**  
**Resolution of June 17, 2015 No. 411**

**PROCEDURE**  
**for submission of information by entities, enterprises, institutions and**  
**organizations that are not reporting entities at the request of the State**  
**Financial Monitoring Service of Ukraine**

1. The Procedure determines the mechanism for submission, by entities, enterprises, institutions and organizations, regardless of ownership, that are not reporting entities (hereinafter – RE) at the request of the State Financial Monitoring Service of Ukraine, the information related to the analysis of financial transactions which have become the subject to financial monitoring, about the persons who participated in their implementation, the assets of such persons, certificates and copies of documents (including those containing information with limited access) (hereinafter - the information).

2. The information submitted by the RE in accordance with this Procedure is a secret of financial monitoring. The exchange of information, its disclosure and protection are carried out in accordance with the law.

3. The information requested by the SFMS is used to analyze financial transactions that have been subject to financial monitoring.

4. Requests are sent to RE in written form on the official letterhead of the SFMS signed by the authorized person of the SFMS.

5. RE and their officials are obliged to submit a written response to the SFMS request.

6. The response of RE to the SFMS request should contain:

the number and date of the SFMS request to which the reply is provided;

complete and meaningful information requested by the SFMS, including with limited access;

copies of documents certified by the signature of the official and the necessary certificate, confirming the information submitted in accordance with the SFMS request;

signature of the official.

7. The response will be sent within five working days from the date of receipt of the request.

**APPROVED**  
**by the Cabinet of Ministers of Ukraine**  
**Resolution of June 17, 2015 No. 411**

**LIST**  
**of the Cabinet of Ministers of Ukraine Resolutions that have expired**

1. Resolution of the Cabinet of Ministers of Ukraine as of August 30, 2010 No. 775 “On approval of the Procedure for submission of information by entities, enterprises, institutions, organizations that are not reporting entities at the request of the State Financial Monitoring Service of Ukraine” (Official journal of Ukraine, 2010, No. 65, Art. 2288).
2. Paragraph 6 of the amendments to the Resolutions of the Cabinet of Ministers of Ukraine on the activities of the State Financial Monitoring Service, approved by the Resolution of the Cabinet of Ministers of Ukraine as of February 7, 2011 No. 75 (Official journal of Ukraine, 2011, No. 9, Art. 419).